

Identification for Australian trusts and trustees

Policy number		Policy number						
Pol	licy number	Policy	number					
We	u can also arrange for your financial adviser to identify e respect your privacy and handle your information in accoailable at mlcinsurance.com.au/privacy-policy	_	_		-			
Se	ection 1: Trust details							
1.	General Details Full name of trust							
	Full business name (if any) of the trustee	Count	ry where tr	ust esta	blished	d		
2.	Type of trust Please select the type of trust. You can choose only one 2.1 Regulated trusts Please select the type of regulated trust.). 						
	Self-Managed Superannuation fund Provide the SMSF's ABN							
	Registered managed investment scheme Provide Australian Registered Scheme Number	r (ARSN)						
	Government Superannuation fund Provide name of the legislation establishing the	e fund						
	Unregistered managed investment scheme Provide the scheme's ABN							
	Other regulated trust (A trust that is subject to Territory statutory regulator such as an approve APRA-regulated superannuation fund)							
	Provide name of the regulator (e.g. ASIC, APRA, ATO)							
	Provide the trust's ABN or registration/licensing details	;						
	Please go to Section 2: Regulated trust details							



 Trustee
 Fund
 Insurer

 NULIS Nominees (Australia) Limited
 MLC Super Fund
 MLC Limited

 ABN 80 008 515 633 AFSL 236465
 ABN 70 732 426 024
 ABN 90 000 000 402 AFSL 230694

The Trustee is part of the Insignia Financial Group. MLC Limited uses the MLC brand under licence from Insignia Financial Group. MLC Limited is part of the Nippon Life Insurance Group and is not a part of the Insignia Financial Group.

Section 1: Trust details continued

OR

		.2. Unregulated trusts						
	F	ull name of Settlor/s.						
		he person/s who settles the initial sum or asset	s to create the trust.					
	Please select the type of unregulated trusts. Family trust Charitable trust Testamentary trust							
		Other Provide description						
	Р	lease go to Section 3: Unregulated trust deta	ils					
Se	cti	on 2: Regulated trust details						
1.	Set	ttlor details						
••		vide the names of the person who settled the ir	nitial sum or assets to create the trust					
		viae the harnes of the percent who cettled the h	initial sum of assets to greate the trust.					
2.		neficiary details vide the names and/or class/es of the trust's be	eneficiaries. Both the names and classes of beneficiaries must be					
		vided (if the trust has both named and class/es						
	Cla	ss/es of beneficiaries						
	Pro	vide full name of each beneficiary						
		Full given name(s)	Surname					
	1							
	2							
	4							
	=	lere are more beneficiaries please attach a sepa	arate list					
_			arate not.					
3.		neficiary owners of the trust						
		vide the names of the individuals who ultimately						
	Pro	vide full name and date of birth of each benefic						
		Full given name(s) Surnar	me Date of birth					
	1							
	2							
	5							
	4							

If there are more beneficial owners, please attach a separate list.

Section 2: Regulated trust details continued 4. Trustee details You need to provide details of one of your trustees (even if the trust has a number of trustees). Please choose the type of trustee. Individual trustee First name Mr Mrs Miss Ms Other Middle name Last name Date of Birth Email Home telephone Business telephone Mobile Residential address - PO Box is not acceptable Unit number Street number Street name Suburb State Postcode Country Please go to 5 FATCA OR **Company trustee** Please complete the Company details, below. Australian Company trustees should provide the information below. A Foreign Company trustee, must also complete the Identification for a Foreign Company in addition to this form. This also is available on mlcinsurance.com.au/proof-ofidentity Company details Full name as registered by ASIC ACN Register address (Can not be a PO Box) Unit number Street number Street name Suburb State Postcode Country Principal Place of Business (if any) (Can not be a PO Box) Unit number Street number Street name Suburb State Postcode Country

Company type

Public

Companies whose name does not include the word Pty or proprietary; generally listed companies. Please go to Regulatory/Listing details.

Proprietary

Companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies. Please complete the details below.

Section 2: Regulated trust details continued

Directors

To be completed for proprietary companies, not required for public companies as above. Provide full name of each Director.

	Full given name(s)	Surname
1		
2		
3		
4		
If ther	e are more directors, provide a separate list.	
Pleas	e go to Beneficial owners	
Regu	ılatory/Listing details	
If the requir		ant category (if applicable) and provide the information
	Regulated company	Regulator name
	A company whose activities are subject to the oversight of a Commonwealth, State or Territory	
	statutory regulator. In this context 'Regulated'	Licence details
	means subject to supervision beyond that provided	Licerice details
	by ASIC as a company registration body.	
	Examples include:Australian Financial Services Licensee (AFSL)	
	Australian Credit Licensees (ACL), or	
	Registrable Superannuation Entity (RSE) Licensees	
	Australian Public Listed company	Name of market/exchange
	A company that is listed on an Australian financial market such as the ASX.	
	Majority owned subsidiary of an Australian	Australian listed company name
	Public Listed company A company that is majority owned by an Australian	
	company that is listed on an Australian financial	Name of market/exchange
	market such as the ASX.	Teams of markey exemange
-	have selected one of the above regulated/listed comp proceed to Beneficial owners below.	pany types above proceed to 5. FATCA .
Bene	eficial owners of the Trustee Company	
	completed for all companies that are not Australian Policies Listed company or Regulated Companies as above.	ublic Listed companies, majority owned by an Australian
	nere any individuals who ultimately own or control (dire of the company's issued share capital?	ctly or indirectly) through one or more shareholdings 25% or
Yes	Please complete Shareholder beneficial of	owners
No	Please complete Other beneficial owners	

Section 2: Regulated trust details continued

Sharehold beneficial owners

Provide the names of the individuals who ultimately own or control (directly or indirectly) through one or more shareholdings 25% or more of the company's issued share capital. Full name Date of birth (DD/MM/YYYY) Residential address - PO Box is not acceptable Unit number Street number Street name Suburb State Postcode Country Full name Date of birth (DD/MM/YYYY) Residential address - PO Box is not acceptable Unit number Street number Street name Suburb State Postcode Country Full name Date of birth (DD/MM/YYYY) Residential address (PO Box is not acceptable) Unit number Street number Street name Suburb State Postcode Country Full name Date of birth (DD/MM/YYYY) Residential address - PO Box is not acceptable Unit number Street number Street name State Suburb Postcode Country

Proceed to 5. FATCA

Section 2: Regulated trust details continued

Other beneficial owners

5.

6.

Provide the name of the most senior managing official of the company (such as the managing director or director who is authorised to sign on the company's behalf).

Full name				Date of birth (DD/MM/YYYY)					
Residential	address (PO Box is not acceptable)								
Init numbe	Street number Street name								
Suburb	State Postcode	_	Со	untry	/				
Proceed to	5. FATCA								
ATCA – U	S Foreign Account Tax Compliance Act								
	uper funds (Self-Managed Superannuation Funds, APRA regulated supe	r fun	de 1	aove	rnme	nt eu	ner fun	de	
	uper rurius (Seir-Mariaged Superarindation Furius, AFAA regulated super uperannuation trusts) are not required to complete this question and can								
	. We are required to report details of Trusts applicable for US tax purpos								
ATO) which	may, in turn, report you to the United States tax authority.								
rovide the	trust or trustee's Global Intermediary Identification Number (GIIN), if a	applio	cabl	e.					
the Irust of the optio	or Trustee is a financial institution but does not have a GIIN, provide its F ns below.	AICA	A sta	atus.	Plea	se se	lect on	9	
	ned compliant financial institution								
Excepted financial institution									
Exempt beneficial owner									
Non reporting IGA financial institution									
Non participating financial institution									
Othe	r (describe the FATCA status in the box provided)								
or more in	formation on FATCA go to ato.gov.au								
	tification documents eed identification documents for your Trust. Please check the list below ar	nd at	tach	. VOL	r doo	umar	ate to th	ie for	
	•	iu ai	taci	i you	i doc	umen	113 10 111	15 101	
Tick (✓)	Please provide ONE of the following documents								
	An original or certified copy of the trust deed or if not reasonably available the trust deed.	ole a	n or	igina	l or c	ertifie	d extra	ct of	
			., - 4	6 D	a d	+ D:- :			
	A copy of an offer document of the managed investments scheme (eg a	сор	y of	a Pr	oauc	LDISC	Josure		

A copy or relevant extract of the legislation establishing the government superannuation fund sourced from

Please go to Section 4 for details of who can certify your documents

Perform a search of the ASIC, ATO or relevant regulator's website.

(eg "Super Fund Lookup" at www.abn.business.gov.au)

a government website.

Section 3: Unregulated trust details

1. Beneficiary details

	lass/es of beneficiaries (e.g. unit holde	ers, family membe	ers of named person,	charitable organisations/causes)			
Pi	rovide full name of each beneficiary.						
	Full given name(s)		Surname				
1							
2							
3							
4							
If	there are more beneficiaries, please atta	ach a separate list					
	·						
. В	eneficial owners of the Trust						
	rovide the names of the individuals who rust's appointer, as they can control appo						
	Full given name(s)	Surname		Date of birth			
1							
2							
3							
4							
. Ti	Trustee details						
	You have to provide details and verify all Trustees. You'll need to complete either an Identification for Individuals and						
	ole Traders form for each individual trus ustee available at mlcinsurance.com.au						
		proof of identity	1	cred as the beneficial owners of the tra-			
	Trustee 1		Trustee 2				
F	ull name		Full name				
L			Or				
O	ompany name		Company name				
о С							
C	esidential address if an individual trustee ffice address if a company trustee (Can r			s if an individual trustee or registered company trustee - PO Box is not			
C			office address if a				
C			office address if a				

$\textbf{Section 3: Unregulated trust details} \ continued$

4.

Trustee 3	Trustee 4
Full name	Full name
Or	Or
Company name	Company name
Residential address if an individual trustee or registered office address if a company trustee - PO Box is not acceptable	Residential address if an individual trustee or registered office address if a company trustee - PO Box is not acceptable
Postcode Country	Postcode Country
If you have more trustees, please attach a separate list.	
FATCA – US Foreign Account Tax Compliance Act We are required to report details of Trusts applicable for US treport you to the United States tax authority.	t tax purposes to the Australian Taxation Office (ATO) which may
Please choose one of the following options.	
A Trust that has a Trustee that is a Financial Institution	on in its own right
Provide the trust or trustee's Global Intermediary Iden	tification Number (GIIN), if applicable.
Please go to Section 4 for details of who can certify you	ur documents
Australian registered charity or deceased estate	
Please go to Section 4 for details of who can certify you	ur documents.
Other	
Are any of the trust beneficiaries, trustees, settlors or be purposes?	eneficial owners' US citizens or residents of the US for tax
No Yes	
If the Trustee is a company are any of this company's be purposes?	eneficial owners US citizens or residents of the US for tax
No Yes	

Section 3: Unregulated trust details continued

Please provide the name, address and **US Taxpayer Identification Number (TIN)** of each beneficiary, Trustee, settlor or beneficial owner who is a US citizen or resident of the US for tax purposes. If there are more than three, provide the details on a separate sheet.

US Person 1	US Person 2	US Person 3			
Full given name(s)	Full given name(s)	Full given name(s)			
Surname	Surname	Surname			
US TIN	US TIN	US TIN			
Residential address - PO Box is not acceptable	Residential address - PO Box is not acceptable	Residential address - PO Box is not acceptable			
Suburb	Suburb	Suburb			
State	State	State			
Postcode	Postcode	Postcode			
Country	Country	Country			

For more information on FATCA go to ato.gov.au

Please go to Section 4, page 9 for details of who can certify your documents.

5. Identification documents

You'll need to provide identification documents for your Trust and ALL Trustees. To identify the Trustees, please complete the relevant identification form available on **mlcinsurance.com.au/proof-of-identity**

Trust identification documents

Tick (✓)	Please provide ONE of the following documents
	An original or certified copy of the trust deed or if not reasonably available an original or certified extract of the trust deed.

Section 4: Who can certify

A document is only accepted as a certified copy if it has been certified as a true copy of the original document by a person who is currently licensed or registered to practise in Australia, in an occupation below:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner.
- A Justice of the Peace.
- A Judge of a court.
- A Magistrate.
- · A Chief Executive Officer of a Commonwealth court.
- A Notary Public (for the purposes of the Statutory Declaration Regulations 2018).
- A Police officer.
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
- A permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public.
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).
- An officer with 5 or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) (e.g. bank manager, bank officer).
- A finance company officer with 5 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 2018).

- An officer or an authorised representative of, a holder of an AFSL, having 5 or more continuous years of service with one or more licensees (e.g. financial planner, advisor, broker).
- A member of the Institute of Chartered Accountants in Australia, CPA Australia, the Australian Association of Taxation and Management Accountants and the Institute of Public Accountants.
- · Pharmacist.

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the "Approved Certifier" (eg Michelle Helena Citizen).
- · Date the document was certified.
- Signature of the approved certifier.
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier.
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted.

Each following page must be initialled and dated.

Section 5: Send us your form

Please return your completed, signed and dated form to:

MLC Life Insurance - Operations PO Box 23455 Docklands VIC 3008

Email: enquiries.retail@mlcinsurance.com.au

If you have any questions, please contact your financial adviser or call us on 136 525, 8.30am to 6pm AEST, Monday to Friday.